 <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO-1449 (Modified) Use several sheets if necessary				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/670,129
				Confirmation Number	2562
				Filing Date	September 23, 2003
				First Named Inventor	Wei Yen
				Group Art Unit	<del>2124</del> 3709
Examiner Name	Unassigned Christopher Bond				
Sheet	1	of	3	Attorney Docket No.	57159-8008.US01

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
CB	A.	5,892,900		Ginter et al.	8/30/1996	
CB	B.	6,157,721		Shear et al.	8/12/1996	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.			T
CB	1.*	Arbaugh, William A., et al., "A Secure and Reliable Bootstrap Architecture," University of Pennsylvania (1996).			
	2.*	Aziz, Ashar, et al., "Privacy and Authentication for Wireless Local Area Networks," Sun Microsystems, Inc., (1993).			
	3.*	Davida, George I., et al., "Defending Systems Against Viruses through Cryptographic Authentication," IEEE pp. 312-318 (1989).			
	4.*	Diffie, Whitfield, "The First Ten Years of Public-Key Cryptography," Proceedings of the IEEE, Vol. 96, No. 5, pp. 560-577 (May, 1988).			
	5.*	Diffie, Whitfield, et al., "New Directions in Cryptography," (1976).			
	6.*	Dyer, Joan G., et al., "Building the IBM 4758 Secure Coprocessor," Computer, pp. 2-12 (October, 2001).			
	7.*	Frantzen, Mike, et al., "StackGhost: Hardware Facilitated Stack Protection," Proceedings of the 10 <sup>th</sup> USENIX Security Symposium (2001).			
	8.*	Fujimura, Ko., et al., "Digital-Ticket-Controlled Digital Ticket Circulation," Proceedings of the 8 <sup>th</sup> USENIX Security Symposium (1999).			
✓	9.*	Gligor, Virgil D., "20 Years of Operating Systems Security," University of Maryland			

EXAMINER  /Christopher Bond/	DATE CONSIDERED  03/02/2007
*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).	

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO-1449 (Modified) (Use several sheets if necessary)				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/670,129
				Confirmation Number	2562
				Filing Date	September 23, 2003
				First Named Inventor	Wei Yen
				Group Art Unit	<del>2124</del> 3709
				Examiner Name	<del>Unassigned</del> Christopher Bond
Sheet	2	of	3	Attorney Docket No.	57159-8008.US01

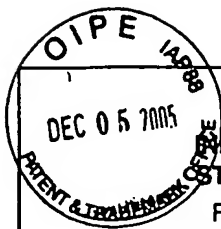
OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T	
CB	10.*	Gutmann, Peter, "The Design of a Cryptographic Security Architecture," Proceedings of the 8 <sup>th</sup> USENIX Security Symposium (1999).		
	11.*	Itoi, Naomaru, "SC-CFS: Smartcard Secured Cryptographic File System," Proceedings of the 10 <sup>th</sup> USENIX Security Symposium (2001).		
	12.*	Jaeger, Trent, et al., "Building Systems that Flexibly Control Downloaded Executable Context," Proceedings of the 6 <sup>th</sup> USENIX UNIX Security Symposium (1996).		
	13.*	Karger, Paul A., "New Methods for Immediate Revocation," IEEE (1989).		
	14.*	Kent, Stephen Thomas, "Protecting Externally Supplied Software in Small Computers," Massachusetts Institute of Technology (1980).		
	15.*	Kogan, Noam, et al., "A Practical Revocation Scheme for Broadcast Encryption Using Smart Cards," Proceedings of the 2003 IEEE Symposium on Security and Privacy (2003).		
	16.*	Lampson, Butler, et al., "Authentication in Distributed Systems" Theory and Practice," Digital Equipment Corporation (1992).		
	17.*	Lotspiech, Jeffrey, et al., "Broadcast Encryption's Bright Future," Computer, pp. 57-63 (August, 2002).		
	18.*	Lotspiech, Jeffrey, et al., "Anonymous Trust: Digital Rights Management Using Broadcast Encryption," Proceedings of the IEEE, Vol. 92, No. 6, pp. 898-909 (June, 2004).		
	19.*	Monrose, et al., "Toward Speech-Generated Cryptographic Keys on Resource Constrained Devices," Proceedings of the 11 <sup>th</sup> USENIX Security Symposium (2002).		
	20.*	Neumann, P.G., et al., "A Provably Secure Operating System," Stanford Research Institute (1975).		
	21.*	Palmer, Elaine R., "An Introduction to Citadel – A Secure Crypto Coprocessor for Workstations," IBM Research Division (1992).		
	22.*	Peterson, David S., et al., "A Flexible Containment Mechanism for Executing Untrusted Code," Proceedings of the 11 <sup>th</sup> USENIX Security Symposium (2002).		
✓	23.*	Rubin, Aviel D., "Trusted Distribution of Software Over the Internet," Internet Society 1995 Symposium on Network and Distributed System Security.		

EXAMINER  /Christopher Bond/	DATE CONSIDERED  03/02/2007
*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).	

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO-1449 (Modified) (Use several sheets if necessary)				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/670,129
				Confirmation Number	2562
				Filing Date	September 23, 2003
				First Named Inventor	Wei Yen
				Group Art Unit	<del>2424</del> 3709
Examiner Name	<del>Unassigned</del> Christopher Bond				
Sheet	3	of	3	Attorney Docket No.	57159-8008.US01

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
CB	24.*	Smith, Sean W., "Secure Coprocessing Applications and Research Issues," Los Alamos Unclassified Release LA-UR-96-2805 (1996).	
	25.*	Smith, Sean W., et al., "Building a High-Performance, Programmable Secure Coprocessor," Secure Systems and Smart Cards, IBM T.J. Watson Research Center, NY (1998).	
	26.*	Smith, Sean W., et al., "Using a High-Performance, Programmable Secure Coprocessor," Proceedings of the Second International Conference on Financial Cryptography.	
	27.*	Smith, Sean, et al., "Validating a High-Performance, Programmable Secure Coprocessor," Secure Systems and Smart Cards, IBM T.J. Watson Research Center, NY	
	28.*	Stefik, Mark, "Trusted Systems," Scientific American, pp. 78-81 (March, 1997).	
	29.*	Tygar, J.D., et al., "Strongbox: A System for Self-Securing Programs," pp. 163-197	
	30.*	Tygar, J.D. et al., "Dyad: A System for Using Physically Secure Coprocessors," School of Computer Science, Carnegie Mellon University (1991).	
	31.*	Van Doorn, Leendert, "A Secure Java™ Virtual Machine," Proceedings of the 9 <sup>th</sup> USENIX Security Symposium (2000).	
	32.*	White, et al., "ABYSS: An Architecture for Software Protection," IEEE Transactions on Software Engineering, Vol. 16, No. 6, pp. 619-629(1990).	
	33.*	White, Steve R., et al., "Introduction to the Citadel Architecture: Security in Physically Exposed Environments," IBM Research Division (1991).	
	34.*	Wobber, Edward, et al., "Authentication in the Taso Operating System," Digital Systems Research Center (1993).	
	35.*	Yee, B., et al., "Secure Coprocessors in Electronic Commerce Applications," Proceedings of the First USENIX Workshop on Electronic Commerce (1995).	
✓	36.*	Yee, B., "Using Secure Coprocessors," PhD Thesis, School of Computer Science, Carnegie Mellon University, Pittsburgh, PA (1994).	

EXAMINER	DATE CONSIDERED
/Christopher Bond/	03/02/2007
*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).	



2704

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
Form PTO-1449 (Modified)  
(Use several sheets if necessary)

**COMPLETE IF KNOWN**

Application Number	10/670,129
Confirmation Number	2562
Filing Date	September 23, 2003
First Named Inventor	Yen, Wei
Group Art Unit	<del>2124</del> 3709
Examiner Name	<del>Unassigned</del> Christopher Bond
Attorney Docket No.	57159-8008.US01

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
CB		5,715,403		Stefik	02/03/98	
↓		5,905,860		Olsen, <i>et al.</i>	05/18/99	
		2002/0184160	A1	Tadayon, <i>et al.</i>	12/05/02	
		2003/0144869	A1	Fung, <i>et al.</i>	07/31/03	
		2004/0054923	A1	Seago, <i>et al.</i>	03/18/04	
		2004/0102987	A1	Takahashi, <i>et al.</i>	05/27/04	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T

EXAMINER

/Christopher Bond/

DATE CONSIDERED

03/02/2007

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

BY053360012